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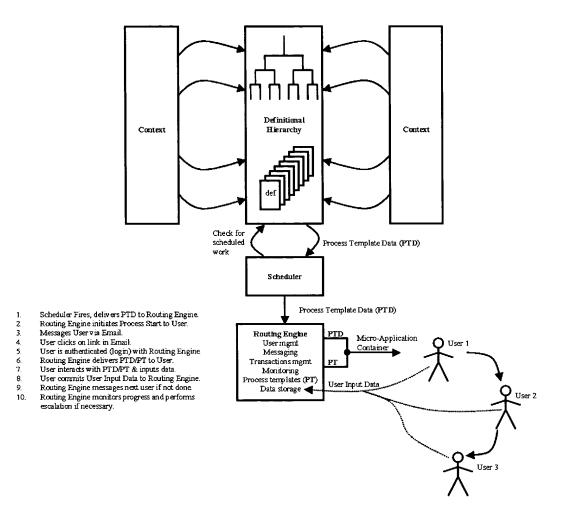
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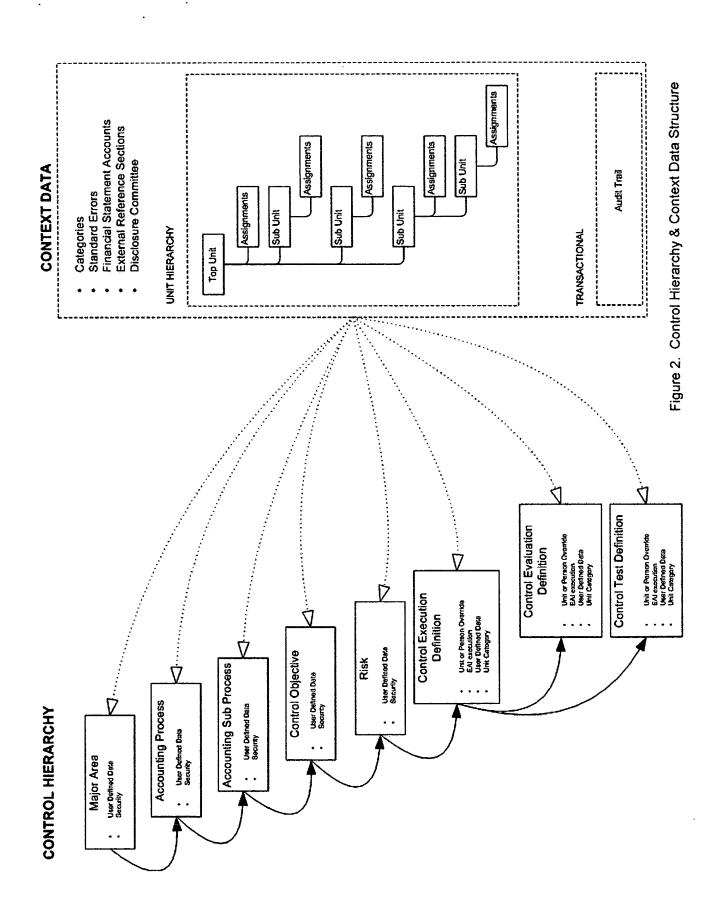
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Figure 1. System Architecture and Process Overview





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Figure 3a. Unit & Sub-Unit List Data Sample

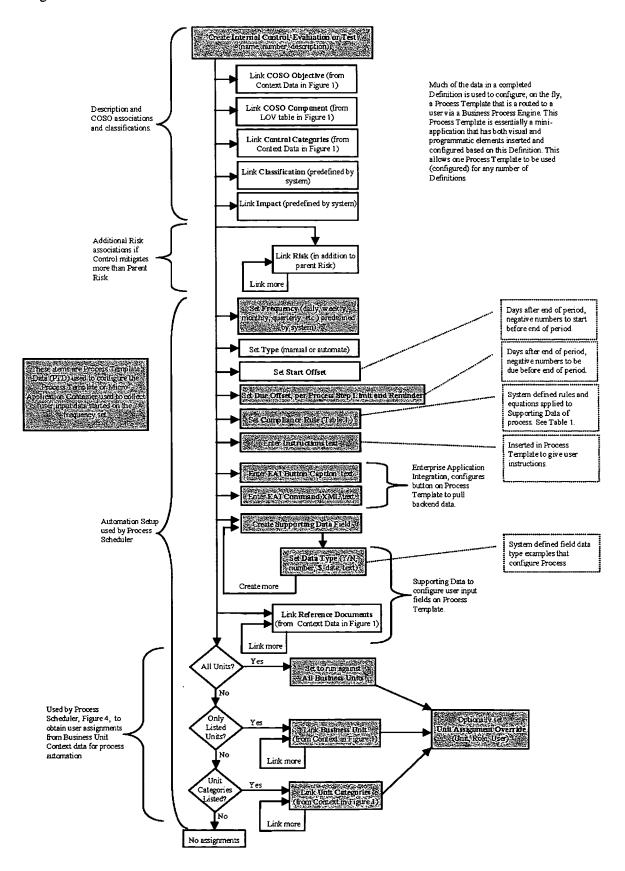


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Figure 4. Creation of Definitions Flow Chart



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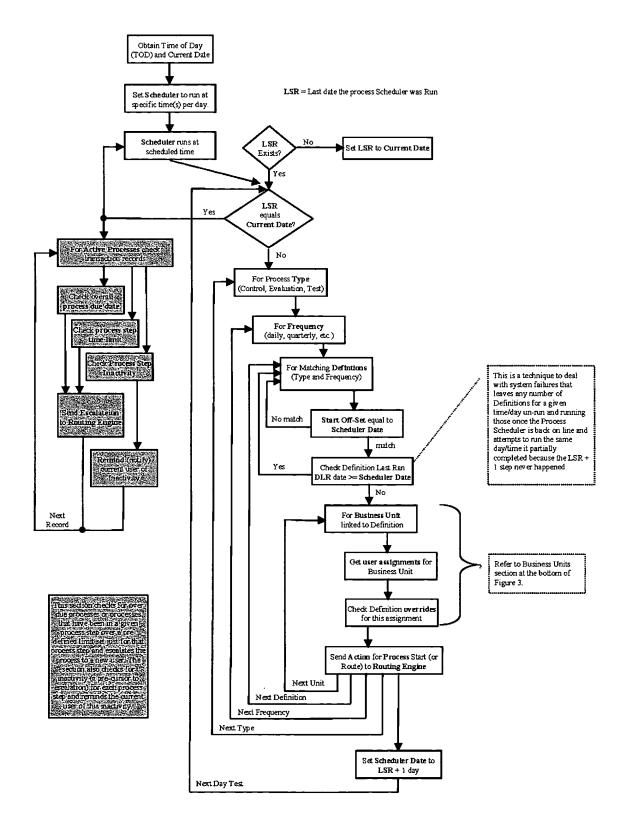
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Figure 5d Internal Control Definition Sample

Figure 6. Scheduler Flow Chart



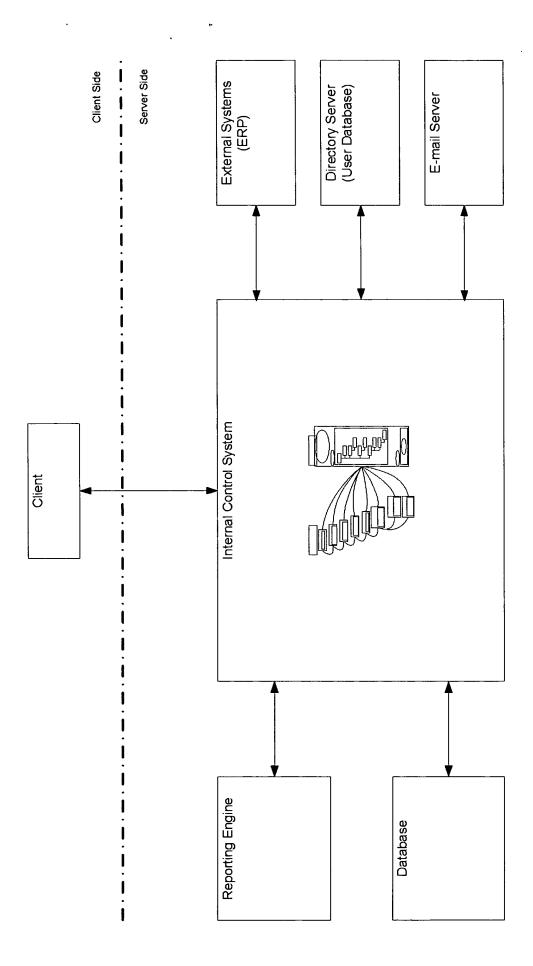


Figure 7. Environmental Infrastructure Architecture

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You have been assigned the following internal control execution task for the North America unit for the monthly reporting period ending September 30, 2003. This activity is due by October 5, 2003

Please complete the task identified below, collect the required information and then press the Submit button. Your input will be logged and follow up actions will be automatically initiated as appropriate. After your submission, no further action on your part is required.

Control: Bank Statement and G/L Reconciliation Ol-107-01<u>-</u>01-01

<u>Description</u>
Bank statements must be reconciled not in the general ledger, and differences must be promptly resolved.

nstructions

As the Accounts Payable Manager, for each reporting period, retrieve the account actual from the GL system and internal control, mark the impact of HIGH so that the issue is automatically escalated to the appropriate person compare the data to the bank balance. If the amounts reconcile, check the "Yes" box for in compliance. If the amounts do not reconcile, check the "No" box below for non-compliance. Due to the material nature of this

G/L System Balance | 65 \$1, 500,000.00

Adjusted Bank Statement Balance >> |\$1,500,000.00

Figure 8. Application Container with Sample Data

Figure 9. Routing Engine Flow Chart

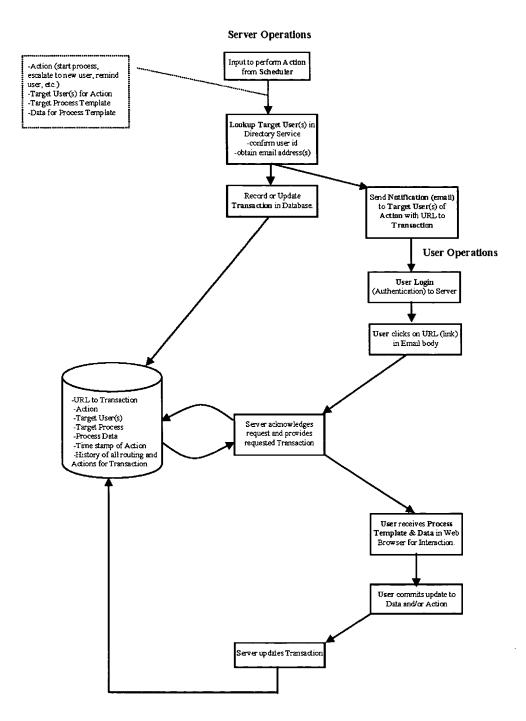


Figure 10. Configuration and Initialization Flowchart

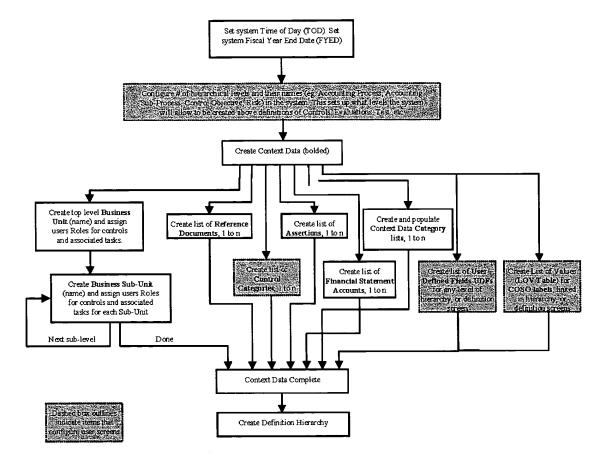


Figure 11 Hierarchy Definition Flow Chart

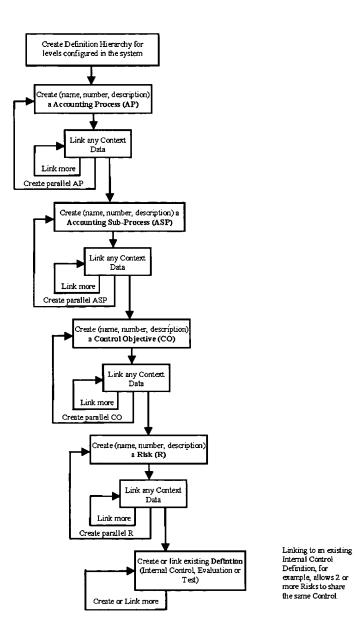


Figure 12. Compliance Rule User Selection Screen (input to Process Template)

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